· Express Mail No. EL773186937US



United States Patent and Trademark Office

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/747,425

12/21/2000

Salvatore Leonardi

856063.672

00500

SEED INTELLECTUAL PROPERTY LAW

701 FIFTH AVE SUITE 6300

SEATTLE, WA 98104-7092



CONFIRMATION NO. 1479

FORMALITIES LETTER

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OC000000005724330*

Date Mailed: 02/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

05/03/2001 SSESHE1 00000030 09747425

01 FC:101 02 FC:105

130.00 DP

A copy of this notice MUST be returned with the reply.

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

| | , | | • | | | |
|-----|---------|--|-----------------|--|-----------------------------------|---------|
| | | ` | | EXPRESS MA | AIL NO. EL77318693 | 37US |
| | | <u>. </u> | | | PTO/SB/21 (0 | (00-80 |
| Ple | ase typ | e a plus sign (+) inside this box -> + | | Approved for use throu | igh 10/31/2002. OMB 065 | 1-0031 |
| 1 | | | | ent and Trademark Office: U.S. I | | |
| 1 | Unde | er the Paperwork Reduction Act of 1995, no persons are | required to res | spond to a collection of information unles | s it displays a valid OMB control | number. |
| | 1 | | | Application Number | 00/747 405 | |

| TRANSMITTAL | |
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| FORM | |

(To be used for all correspondence after initial filing)

| Application Number | 09/747,425 |
|----------------------|--------------------|
| Filing Date | December 21, 2000 |
| First Named Inventor | Salvatore Leonardi |
| Group Art Unit | 2811 |
| Examiner Name | |
| Attorney Docket No. | 856063.672 |

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|---|---|--|--|--|--|
| ENCLOSURES (check all that apply) | | | | | |
| ☐ Affidavits/declaration(s) Receipt ☐ Appeal Communic Board of Appeals Interferences ☐ Express Abandonment Request ☐ Petition ☐ Appeal Communic Board of Appeals Interferences ☐ Information Disclosure Statement; Form PTO-1449 ☐ Petition to Convert to a Provisional Application ☐ Appeal Communic Board of Appeals Interferences ☐ Provisional Application ☐ Group (Appeal No Reply Brief) ☐ Proprietary Inform ☐ Status Letter ☐ Correspondence Address ☐ Proprietary Inform ☐ Declaration ☐ Status Letter ☐ Statement under 37 CFR under 37 CFR ☐ Appeal Communic Board of Appeals Interferences ☐ Proprietary Inform ☐ Proprietary Inform ☐ Status Letter ☐ Appeal Communic Board of Appeals Interferences ☐ Provisional Application ☐ Proprietary Inform ☐ Status Letter ☐ Appeal Communic Board of Appeals Interferences ☐ Provisional Application ☐ Proprietary Inform ☐ Status Letter ☐ Appeal Communic Board of Appeals Interferences ☐ Appeal Communic Board of Appeals Interferences ☐ Proprietary Inform ☐ Proprietary Inform ☐ Status Letter ☐ Additional Enclose (please identify be | CD(s), Number of CD(s) After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Return Receipt Postcard Additional Enclosure(s) (please identify below): Copy of Notice to File Missing | | | | |
| Remarks | | | | | |
| | | | | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | | | |
| Individual Name E. Russell Tarleton 00500 PATENT TRADEMARK OF | 00500 PATENT TRADEMARK OFFICE | | | | |
| Signature E. Russel Jack | | | | | |
| Date April 30, 2001 | | | | | |
| CEPTIEICATE OF MAIL INC | | | | | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below. | | | | | |
| Typed or printed name | | | | | |
| Signature Date: | Date: | | | | |

EXPRESS MAIL NO. EL773186937US PT0/SB/17 (11-00) Approved for use through 10/31/2002. OMB 0651-0032

| ¿F | EE TRANSMITTAL |
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| Π, | for FY 2001 |

Patent fees are subject to annual revision.

STOTAL AMOUNT OF PAYMENT 950

| Complete if Known | | | | |
|----------------------|--------------------|--|--|--|
| Application Number | 09/747,425 | | | |
| Filing Date | December 21, 2000 | | | |
| First Named Inventor | Salvatore Le nardi | | | |
| Examiner Name | | | | |
| Group Art Unit | 2811 | | | |
| Attorney Docket No. | 856063.672 | | | |

| METHOD OF PAYMENT FEE CALCULATION (continued) | |
|--|---------------------|
| 1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: 3. <u>ADDITIONAL FEES Large Entity Small</u> | |
| Deposit Account 19-1090 Fee Fee Fee Fee Fee Fee Description | Fee Paid |
| Number 105 130 205 65 Surcharge - late filing fee or or 127 50 227 25 Surcharge - late provisional f | path 130 |
| Account Name Seed Intellectual Property Law Group PLLC 139 130 139 130 Non-English specification | |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 147 2,520 147 2,520 For filing a request for ex particle for examination | te |
| and credit any overpayment to Deposit Account Number above. 112 920* 112 920* Requesting publication of SIF | R prior to |
| Applicant claims small entity status. See 37 CFR 1.27 113 1,840* 113 1,840* Requesting publication of SIF Examiner action | Rafter |
| To the second se | month 110 |
| Check Credit card Money Other 116 390 216 195 Extension for reply within sec | ond |
| FEE CALCULATION 117 890 217 445 Extension for reply within thir | d month |
| 1. BASIC FILING FEE 118 1,390 218 695 Extension for reply within four month | rth |
| Large Entity Small Entity Fee Fee Fee Fee Description 128 1,890 228 945 Extension for reply within fifth | month |
| Code (\$) Code (\$) Fee Paid 119 310 219 155 Notice of Appeal | |
| 101 710 201 355 Utility filing fee 710 120 310 220 155 Filing a brief in support of an | appeal |
| 106 320 206 160 Design filling fee | ļl |
| 107 490 207 245 Plant filing fee | se |
| 108 710 208 355 Reissue filing fee 140 110 240 55 Petition to revive – unavoidat | ole |
| 141 1,240 241 620 Feddor to revive – unintentio | nal |
| SUBTOTAL (1) (\$) 710 142 1,240 242 620 Utility issue fee (or reissue) | |
| 2. EXTRA CLAIM FEES 143 440 243 220 Design issue fee | |
| Extra Fee from Fee 144 600 244 300 Plant issue fee | |
| Claims below Paid 122 130 122 130 Petitions to the Commissione | |
| Claims | |
| Independent Claims 2 -3** = 0 X 80 = 0 126 180 126 180 Submission of Information Distriction District | |
| Multiple Dependent X = 0 581 40 581 40 per property (times number o property experies) | |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description 146 710 246 355 Filing a submission after final (37 CFR § 1.129(a)) | rejection |
| 103 18 203 9 Claims in excess of 20 149 710 249 355 For each additional invention | to be |
| 104 270 204 135 Multiple dependent claim, if not paid 179 710 279 355 Request for Continued Examina | |
| 109 80 209 40 Reissue independent claims over 169 900 169 900 Request for expedited examinat | ion of a |
| 110 18 210 9 ** Reissue claims in excess of 20 and over original patent Other fee (specify) | |
| SUBTOTAL (2) (\$) 0 *Reduced by Basic Filing Fee Paid SUBTOTAL (3) | (\$) 240 |
| **or number previously paid, if greater, For Reissues, see above | |
| SUDMITTED BY | |
| SUBMITTED BY Name F. Russell Tarloton Registration No. 24 800 | |
| Registration No. Attorney/Agent) 31,800 | HILL SELLE ERHILLER |
| | |

April 30, 2001

PATENT TRADEMARK OFFICE

Date

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EXPRESS MAIL NO. **EL773186937US**PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032

| | FEE TRANSMITTAL |
|---|------------------------|
| , | for FY 2001 |

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT 950

| | Complete if Known | | | |
|----------------------|--------------------|--|--|--|
| Application Number | 09/747,425 | | | |
| Filing Date | December 21, 2000 | | | |
| First Named Inventor | Salvatore Leonardi | | | |
| Examiner Name | | | | |
| Group Art Unit | 2811 | | | |
| Attorney Docket No. | 856063.672 | | | |

| METHOD OF PAYMENT | | FEE CALCULATION (continued) | | | | | |
|--|--|-----------------------------|-------------|-------------|---|-------------|--|
| The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: | 3. <u>ADDITIONAL FEES</u> <u>Large Entity</u> <u>Small</u> | | | | | | |
| Deposit 40.4000 | | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid | |
| Account Number 19-1090 Deposit | Code 105 127 | 130 50 | 205 227 | | Surcharge - late filing fee or oath Surcharge - late provisional filing fee or cover sheet. | 130 | |
| Account Name Seed Intellectual Property Law Group PLLC | 139 | 130 | 139 | 130 | Non-English specification | - | |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte | | |
| and credit any overpayment to Deposit Account Number above. | 112 | 920* | 112 | | reexamination Requesting publication of SIR prior to | | |
| Applicant claims small entity status. See 37 CFR 1.27 | 113 | 1,840* | 113 | 1,840* | Examiner action Requesting publication of SIR after | \vdash | |
| 2. X Payment Enclosed: | 445 | 446 | 045 | | Examiner action | 1.0 | |
| Check Credit card Money Other | 115 116 | 110 390 | 215 216 | 195 | Extension for reply within first month Extension for reply within second month | 110 | |
| FEE CALCULATION | 117 | 890 | 217 | 445 | Extension for reply within third month | \vdash | |
| 1. BASIC FILING FEE | 118 | 1,390 | 218 | | Extension for reply within fourth month | | |
| Large Entity Small Entity Fee Fee Fee Fee Description | 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | | |
| Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid | 119 | 310 | 219 | 155 | Notice of Appeal | | |
| 101 710 201 355 Utility filing fee 710 | 120 | 310 | 220 | | Filing a brief in support of an appeal | | |
| 106 320 206 160 Design filing fee | 121 | 270 | 221 | 135 | Request for oral hearing | | |
| 107 490 207 245 Plant filing fee | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | | |
| 108 710 208 355 Reissue filing fee 114 150 214 75 Provisional filling fee | 140 | 110 | 240 | 55 | Petition to revive - unavoidable | | |
| | 141 | 1,240 | 241 | 620 | Petition to revive – unintentional | | |
| SUBTOTAL (1) (\$) 710 | 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | ├ | |
| 2. EXTRA CLAIM FEES | 143 | 440 | 243 | 220 | Design issue fee | | |
| Extra Fee from Fee Claims below Paid | 144 122 | 600 130 | 244 122 | 300 130 | Plant issue fee Petitions to the Commissioner | <u> </u> | |
| Total Claims 18 -20** = 0 x 18 = 0 | 123 | 130 | 123 | 130 | Petitions related to provisional applications | | |
| Independent Claims 2 -3** = 0 X 80 = 0 | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | | |
| Multiple Dependent X = 0 | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of | | |
| Large Entity Small Entity | | | | | properties) | | |
| Fee Fee Fee Fee Description | 146 | 710 | 246 | 333 | Filing a submission after final rejection (37 CFR § 1.129(a)) | <u> </u> | |
| 103 18 203 9 Claims in excess of 20 102 80 202 40 Independent claims in excess of 3 | 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | | |
| 104 270 204 135 Multiple dependent claim, if not paid | 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | | |
| 109 80 209 40 ** Reissue independent claims over original patent | 169 | 900 | 169 | 900 | Request for expedited examination of a design application | | |
| 110 18 210 9 ** Reissue claims in excess of 20 and over original patent | | Other fee (specify) | | | | | |
| SUBTOTAL (2) (\$) 0 | *Reduce | d by Bas | ic Filing | Fee Pai | id SUBTOTAL (3) (\$) 240 | | |
| **or number previously paid, if greater, For Reissues, see above | | | | | | | |
| SUBMITTED BY | | | | | | 11111 | |
| (Print/Type) C. INUSSEII Talletoll | Registratio Attorney/A | | 31,8 | 00 | | | |
| Firm Name/ | | | - | | 7 | 11451 | |

E. Kullell Jarle lb

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